



### **Comparative Analysis of Transfer and Continual Learning for Vision Based Particle Classification in Plastics Sorting for Recycling**

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 Bundesministerium Klimaschutz, Umwelt, Energie, Mobilität, Innovation und Technologi











### **Context: recAlcle Project**

Recycling-oriented collaborative waste sorting by continual learning

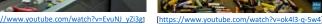
FFG AI for Green - Kooperatives F&E Projekt

Duration: 01.07.2022 – 30.09.2025 (39 Months)

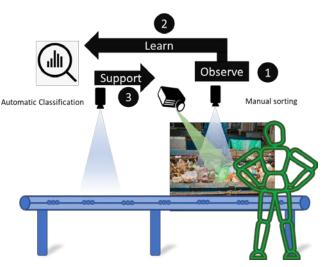
#### **Partner:**

- Pro2Future GmbH
- Montanuniversität Leoben (AVAW)
- Siemens Aktiengesellschaft Österreich (DI Graz + T Wien)

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### Goal:

**AI-based visual assistance** for workers in waste sorting plants to improve conditions of work, reduce stress and workload, and increase the sorting quality for better recycling and circular economy.



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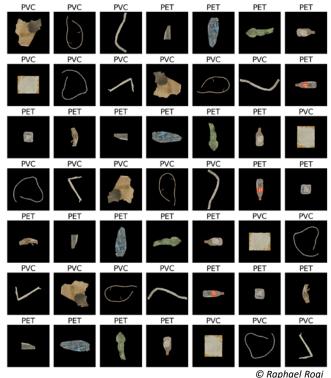


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### **Problem:** How to visually classify different types of plastic waste?

- Good: Classes are known in advance: PET, PVC, PE, GVK, PS, ...
- **Bad: Waste comes arbitrarily crushed** ۲ and in all rotations
- **Bad: Labels + Packages/Bottles + Caps** ۲
- Bad: Dirt, stains, interleaves and ۲ overlaps (in reality! -- not lab conditions)















### Datasets: CIFAR100, DWRL, Synthetic

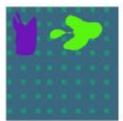
#### CIFAR100 Dataset (60 000 images, 100 classes)



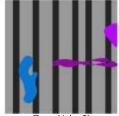
<sup>[</sup>https://www.cs.toronto.edu/~kriz/cifar.html]



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### Synthetic Dataset



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### **Problem: Local and Seasonal Data Bias**

#### Waste is biased based on location/seasons/events



#### New waste "emerges"



Christmas [https://plasticoceans.org/creating-amore-sustainable-christmas]



### What is better: Transfer Learning or Continual Learning?

### **Transfer Learning**

Use pretrained model and retrain it with all input data from use-cases

"Classical" models and techniques used (CNN)

- + Optimal fit for this training data set
- Needs all training data to be known a priori
- Problem of Overfitting
- Local biases may not be optimally recognized
- Retraining is costly (high computing overhead)

### **Continual Learning**

Use pretrained model and fine-tune it iteratively with batches of new input data from use-cases

Special techniques for fine-tuning (EWC, Replay)

- + Training data can be added continuously
- + Fine-tuning is fast
- + Fastly adopts to data biases
- Accuracy may be worse in the beginning
- Catastrophic Forgetting: Accuracy "may" decrease

## **Method: Experimental Setup / Preparing the Training Datasets**

#### 1. Data Preprocessing

- 1. Synchronize
- 2. Split and Crop
- 3. Clean up

#### 2. Object Detection

- 1. Detect Bounding-Boxes / Boundaries
- 2. Object Segmentation
- 3. Background Segmentation

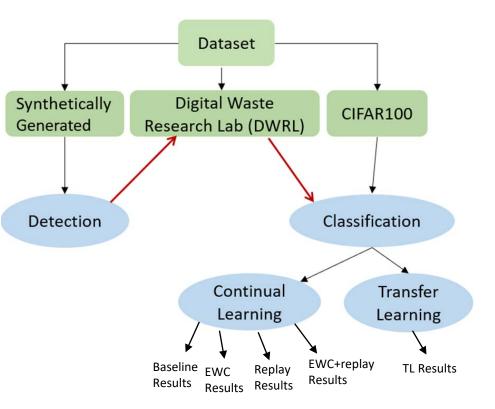
#### 3. Object Classification

- 1. Annotate / Tag with Waste Type
- 2. Store individual images

#### 4. Use for Training & Validation

#### 5. Measured and Compared Accuracy for:

- Transfer Learning
- Continual Learning
  - ✓ Baseline
  - ✓ EWC
  - ✓ Replay
  - ✓ EWC+replay



## **Object Detection Examples**



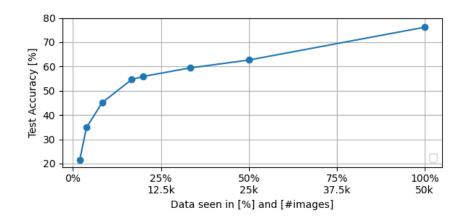


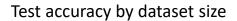




# Results

### **Results & Evaluation: Transfer Learning on CIFAR-100**





#### Accuracy:

961 images:	21.36%
50 000 images:	76.17%

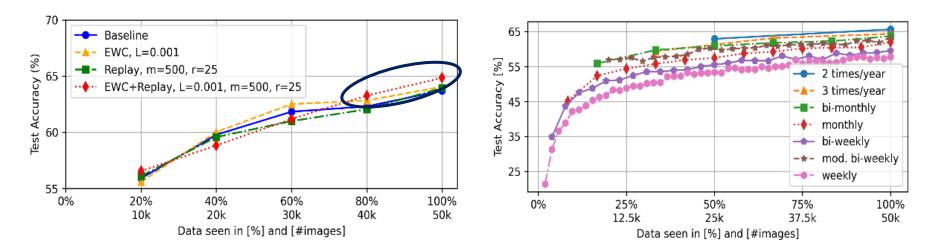


Test accuracy and training time for various learning rates

#### **Best Configuration**:

LR = 0.0001, no dropout – 13% accuracy gain. 20minutes training time

### **Results & Evaluation: Continual Learning on CIFAR-100**



Accuracy by continual learning strategy **Strategies**: Baseline, EWC, Replay, EWC+Replay.

#### **Best Performance:**

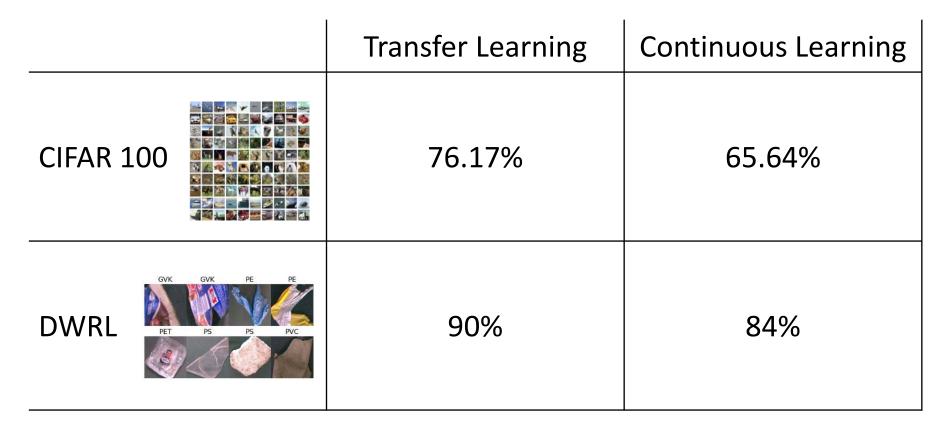
EWC+Replay, 64.83% accuracy (+3% baseline)

Accuracy by batch size and count

Higher Accuracy: Fewer, larger batches (+9%).

**Modified Bi-Weekly**: Outperforms constant batch size by 4%, achieving 63.44%.

### **Results & Evaluation: CIFAR 100 vs. DWRL**



## **Conclusion and Outlook**



### Key Takeaways:

- 1. Continual learning starts weaker, but improves over time.
- 2. Continual learning adapts better to changing input streams (adapts to data bias).
- 3. Recommendation: bi-weekly batches and considering replaying upcoming events (e.g. Christmas).
- 4. EWC + replay have higher accuracy in the later stages (much data seen).

### **Outlook:**

Further techniques have to be evaluated (network expansion, pruning, dynamic architecture search)

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